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Attribute-Level and Pattern-Level Classification Consistency and Accuracy Indices for Cognitive Diagnostic Assessment

Wenyi Wang, Lihong Song, Ping Chen, Yaru Meng, Shuliang Ding 01 December 2015 IF: 0.938

Reporter: Yingshi Huang

Introduction

• Cognitive diagnostic assessment (CDA)

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reliability of diagnostic scores?
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criterion-referenced tests

classification consistency and accuracy indices for CDA

CUI,
$$
^{(2012)}
$$
 : $P_c & P_a$ 1. at the whole-pattern level 2. difficult to calculate

find a new method to estimate these indices to **cater to any test**, not only at the pattern level but also **at the attribute level**

− Classification Accuracy (CA)

- Criterion-referenced test: score/ability
	- − Classification Consistency (CC)

compute the expected probability of scoring in each category C: \hat{p}_{iC}

- Criterion-referenced test: score/ability
	- − Classification Consistency (CC)

− Classification Accuracy (CA)

compute the expected probability of scoring in each category C: \hat{p}_{iC}

- Cognitive diagnostic assessment: pattern/attribute
	- − Classification Consistency (CC)

− Classification Accuracy (CA)

- **Objective:** the probability that an examinee has (not) mastered the attribute k $\hat{\mathbf{P}}_{N \times 2}^{(k)} = (\hat{p}_{i_c}^{(k)}) = P(\alpha_c|\mathbf{X}_i)$
- Under the assumption of local independence $L(\mathbf{X}_i|\alpha_i) = P(\mathbf{X}_i = \mathbf{x}_i|\alpha_i) = \prod_{j=1}^m P_j(\alpha_i)^{x_{ij}} (1 - P_j(\alpha_i))^{1 - x_{ij}}$
 $P(\alpha_c|\mathbf{X}_i) \propto L(\mathbf{X}_i|\alpha_c)p(\alpha_c)$ $P_j(\alpha_i) = P(X_{ij} = 1|\alpha_i, \mathbf{q}_j, \beta_j)$

- **Objective:** the probability that an examinee has (not) mastered the attribute k $\hat{\mathbf{P}}_{N \times 2}^{(k)} = (\hat{p}_{ic}^{(k)}) = P(\alpha_c|\mathbf{X}_i)$
- Under the assumption of local independence

$$
L(\mathbf{X}_i|\alpha_i) = P(\mathbf{X}_i = \mathbf{x}_i|\alpha_i) = \prod_{j=1}^M P_j(\alpha_i)^{x_{ij}} (1 - P_j(\alpha_i))^{1 - x_{ij}}
$$

\n
$$
P(\alpha_c|\mathbf{X}_i) \propto L(\mathbf{X}_i|\alpha_c) p(\alpha_c)
$$

\n
$$
P_j(\alpha_i) = P(X_{ij} = 1|\alpha_i, \mathbf{q}_j, \beta_j)
$$

- − maximum a posteriori (MAP) $\hat{\alpha}_i = \arg \max_{\alpha_c \in Q_s} [P(\alpha_c | \mathbf{X}_i)]$
- − marginal posterior probability (MPP)

$$
\hat{p}_{ik} = \sum_{\alpha_c \in \mathbf{Q}_s} P(\alpha_c | \mathbf{X}_i) \mathbf{I}(\alpha_{ck} = 1)
$$

[Guo, 2006 *Practical Assessment, Research and Evaluation*]

中国基础教育质量监测协同创新中心

Attribute- and Pattern-Level CA ⁷

 $1))$

• to flag the status of the examinee on attribute

$$
\mathbf{W} = \begin{bmatrix} w_{11} & w_{12} & \cdots & w_{1C} \\ w_{21} & w_{22} & \cdots & w_{2C} \\ \vdots & \vdots & \cdots & \vdots \\ w_{N1} & w_{N2} & \cdots & w_{NC} \end{bmatrix}
$$

• Attribute-Level

$$
\hat{\mathbf{W}}_{N\times 2}^{(k)}: \ \hat{W}_i^{(k)} = (\mathbf{I}(\hat{\alpha}_{ik}=0), \mathbf{I}(\hat{\alpha}_{ik}=0))
$$
\n
$$
\hat{\mathbf{P}}_{N\times 2}^{(k)}: \ \hat{\mathbf{P}}_i^{(k)} = (1 - \hat{p}_{ik}, \hat{p}_{ik})
$$
\n
$$
\hat{\tau}_k = \frac{\sum_{i} \sum_{c} (\mathbf{P}_{N\times 2}^{(k)} \cdot \mathbf{W}_{N\times 2}^{(k)})}{N}
$$

- − examinee (*i* = 1) $\hat{p}_{1k} = 0.85$ $\hat{W}_1^{(k)} = (0, 1)$ $\hat{P}_1^{(k)} = (1 - \hat{p}_{1k}, \hat{p}_{1k}) = (.15, .85)$
- − examinee (*i* = 2) $\hat{p}_{2k} = 0.2$ $\hat{W}_{2}^{(k)} = (1, 0)$ $\hat{P}_2^{(k)} = (1 - \hat{p}_{2k}, \hat{p}_{2k}) = (0.8, 0.2)$ $-\hat{\tau}_k = (\hat{p}_{1k} + 1 - \hat{p}_{2k})/2 = .825$

Attribute- and Pattern-Level CA 88

 $1)$

• to flag the status of the examinee on attribute

$$
\mathbf{W} = \begin{bmatrix} w_{11} & w_{12} & \cdots & w_{1C} \\ w_{21} & w_{22} & \cdots & w_{2C} \\ \vdots & \vdots & \cdots & \vdots \\ w_{N1} & w_{N2} & \cdots & w_{NC} \end{bmatrix}
$$

• Attribute-Level

$$
\hat{\mathbf{W}}_{N\times 2}^{(k)}: \ \hat{W}_i^{(k)} = (\mathbf{I}(\hat{\alpha}_{ik} = 0), \mathbf{I}(\hat{\alpha}_{ik} = 0))
$$
\n
$$
\hat{\mathbf{P}}_{N\times 2}^{(k)}: \ \hat{\mathbf{P}}_i^{(k)} = (1 - \hat{p}_{ik}, \hat{p}_{ik})
$$
\n
$$
\hat{\tau}_k = \frac{\sum_{i} \sum_{c} (\mathbf{P}_{N\times 2}^{(k)} \cdot \mathbf{W}_{N\times 2}^{(k)})}{N}
$$

- MAP method
\n
$$
\hat{\tau}_i = \sum_c (\hat{p}_{ic} * \hat{w}_{ic}) = \max(\hat{\mathbf{P}}_i)
$$
\n
$$
\hat{\tau} = \sum_i \hat{\tau}_i / N
$$

• Pattern-Level $\hat{\mathbf{W}}_{N \times T}$: $\hat{W}_i = (\mathbf{I}(\hat{\alpha}_i = \alpha_c))$ $\hat{\mathbf{P}}_{N \times T}$: $\hat{\mathbf{P}}_{i} = (\hat{p}_{ic}) = (P(\alpha_{c}|\mathbf{X}_{i}))$ $\hat{\tau} = \frac{\sum\limits_{i}\sum\limits_{c} (\hat{\mathbf{P}}_{N \times T} \cdot * \hat{\mathbf{W}}_{N \times T})}{N}$

Attribute- and Pattern-Level CC ⁹

• Attribute-Level

$$
\hat{\mathbf{P}}_{N\times 2}^{(k)}: \quad \hat{\mathbf{P}}_i^{(k)} = (1 - \hat{p}_{ik}, \hat{p}_{ik})
$$
\n
$$
\hat{\gamma}_k = \frac{\sum_{i} \sum_{c} (\mathbf{P}_{N\times 2}^{(k)} * \mathbf{P}_{N\times 2}^{(k)})}{N}
$$

• Pattern-Level

$$
\hat{\mathbf{P}}_{N \times T} : \ \hat{\mathbf{P}}_i = (\hat{p}_{ic}) = (P(\alpha_c | \mathbf{X}_i))
$$

$$
\hat{\gamma} = \frac{\sum_{i} \sum_{c} (\mathbf{P}_{N \times T} \cdot * \mathbf{P}_{N \times T})}{N}
$$

− the marginal posterior probabilities of an attribute k being mastered on either test are **identical**

$$
\hat{p}_{ik}^{(1)} = \hat{p}_{ik}^{(2)}
$$

\n
$$
\hat{p}_{ik} = 0.8
$$

\n
$$
\hat{\gamma}_{ik} = 0.2 \times 0.2 + 0.8 \times 0.8
$$

Relationships Among the Variance of Error and Accuracy

• the variance of error

$$
\hat{\sigma}_{ek}^{2} = \sum_{i=1}^{N} \hat{p}_{ik} (1 - \hat{p}_{ik}) / N
$$

\n
$$
= \sum_{i=1}^{N} \hat{p}_{ik} / N - \sum_{i=1}^{N} \hat{p}_{ik}^{2} / N = \hat{\tau}_{k} - \sum_{i=1}^{N} \hat{p}_{ik}^{2} / N
$$

\n
$$
\hat{\tau}_{k} = \frac{\sum_{i} \sum_{c} (\mathbf{P}_{N \times 2}^{(k)} \cdot \mathbf{W}_{N \times 2}^{(k)})}{N}
$$

\n
$$
= \frac{1}{N} \sum_{i=1}^{N} \hat{p}_{ik}
$$

− the Cauchy–Schwarz inequality

$$
\left(\sum_{i=1}^{N} (1/N)^2\right) \left(\sum_{i=1}^{N} \hat{p}_{ik}^2\right) \ge \left(\sum_{i=1}^{N} \frac{1}{N} \hat{p}_{ik}\right)^2
$$

$$
\sum_{i=1}^{N} \hat{p}_{ik}^2 / N \ge \hat{\tau}_k^2
$$

$$
-\sum_{i=1}^{N} \hat{p}_{ik}^2 / N \le -\hat{\tau}_k^2
$$

$$
\hat{\tau}_k - \sum_{i=1}^{N} \hat{p}_{ik}^2 / N \le \hat{\tau}_k - \hat{\tau}_k^2
$$

$$
\sigma_{ek}^2 \le \hat{\tau}_k (1 - \hat{\tau}_k)
$$

$$
\frac{1 - \sqrt{1 - 4\hat{\sigma}_{ek}^2}}{2} \le \hat{\tau}_k \le \frac{1 + \sqrt{1 - 4\hat{\sigma}_{ek}^2}}{2}
$$

An Alternative Approach to Constructing Attribute-Level Indices

Can Cui's pattern-level indices be generalized to the attribute level?

11

- Latent class C_h : is similar to an equivalent class of $\mathsf{AMPs}[\alpha_c]$ C_h
	- − when the Q-matrix of the test is a complete (or necessary and sufficient) Q-matrix: $H = 2^K$

 $X \in \pi_h$

- $-$ otherwise: $H < 2^{K}$
- − π*^h* : all possible item response patterns that would be classified into *C^h*

\n- we have known:
$$
P(\mathbf{X} = \mathbf{x} | \alpha_c) = \prod_{j=1}^{J} [P_j(\alpha_j)]^{x_j} [Q_j(\alpha_j)]^{1-x_j}
$$
\n- we want to know: $P(\mathbf{X} \in \pi_h | \alpha_c) = \sum P(\mathbf{X} = \mathbf{x} | \alpha_c)$
\n

An Alternative Approach to Constructing Attribute-Level Indices

 $\overline{2}$

- Classification consistency
- when X_1 and X_2 belong to the same latent class

$$
P(\mathbf{X}_1 \in \pi_h, \mathbf{X}_2 \in \pi_h | \alpha_c) = \left(\sum_{\mathbf{x} \in \pi_h} P(\mathbf{X} = \mathbf{x} | \alpha_c) \right)
$$

collapsing all H latent classes

$$
P_c(\alpha_c) = \sum_{h=1}^H \left(\sum_{\mathbf{x} \in \pi_h} P(\mathbf{X} = \mathbf{x} | \alpha_c) \right)^2
$$

collapsing all AMPs

$$
P_c = \sum_{\alpha_c \in \mathbf{Q}_s} \left[\sum_{h=1}^H \left(\sum_{\mathbf{x} \in \pi_h} P(\mathbf{X} = \mathbf{x} | \alpha_c) \right)^2 \right] \hat{r}_{\alpha_c}
$$

• Classification accuracy

− true latent class: *C^t*

$$
P(\mathbf{X} \in \pi_t | \alpha_c) = \sum_{\mathbf{x} \in \pi_t} P(\mathbf{X} = \mathbf{x} | \alpha_c)
$$

collapsing all AMPs

$$
P_a = \sum_{\alpha_c \in Q_s} \left[\sum_{\mathbf{x} \in \pi_t} P(\mathbf{X} = \mathbf{x} | \alpha_c) \right] \hat{r}_{\alpha_c}
$$

require the summation
over 2^J item response patterns

 $\hat{r}_{\alpha_{c}} = \sum_{i} P(\alpha_{c} | \mathbf{X}_{i})/N$ (the relative frequency of AMP)

An Alternative Approach to Constructing Attribute-Level Indices

• Pattern-Level:
$$
\boldsymbol{\alpha} = [\alpha_1, \alpha_2, \cdots, \alpha_K]
$$
 α_2

$$
\hat{r}_{k1} = \sum_{\alpha_c \in \mathbf{Q}_s} \left[\mathbf{I}(\alpha_{ck} = 1) \hat{r}_{\alpha_c} \right]
$$

$$
\hat{r}_{k0} = 1 - \hat{r}_{k1}
$$

- Attribute-Level: $\alpha_k =$ 0 1
- Classification consistency **Classification accuracy**

$$
P_{ck1} = \frac{\sum_{\alpha_c \in \mathbf{Q}_s} \left[P_c(\alpha_c) \mathbf{I}(\alpha_{ck} = 1) \hat{r}_{\alpha_c} \right]}{\hat{r}_{k1}}
$$

$$
P_{ck0} = \frac{\sum_{\alpha_c \in \mathbf{Q}_s} \left[P_c(\alpha_c) \mathbf{I}(\alpha_{ck} = 0) \hat{r}_{\alpha_c} \right]}{\hat{r}_{k0}}
$$

$$
P_{ck} = (P_{ck1})^2 \hat{r}_{k1} + (P_{ck0})^2 \hat{r}_{k0}
$$

$$
\begin{array}{ccc}\n\alpha_2 \\
[0, 1, 0, 0, 1] & \hat{r}_{\alpha_{c1}} \\
[0, 1, 0, 1, 0] & \hat{r}_{\alpha_{c2}} \\
\vdots & \vdots \\
[\alpha_1, 1, \alpha_3, ..., \alpha_k] & \hat{r}_{\alpha_{cT}}\n\end{array}
$$

 $\sum_{\alpha} [P(\mathbf{X} \in \pi_t | \alpha_{c}) \mathbf{I}(\alpha_{ck} = 1) \hat{r}_{\alpha_{c}}]$

$$
P_{ak1} = \frac{\alpha_c \in \mathbf{Q}_s}{\hat{r}_{k1}}
$$

$$
P_{ak0} = \frac{\sum_{\alpha_c \in \mathbf{Q}_s} \left[P(\mathbf{X} \in \pi_t | \alpha_c) \mathbf{I}(\alpha_{ck} = 0) \hat{r}_{\alpha_c} \right]}{\hat{r}_{k0}}
$$

 $P_{ak} = P_{ak1} \hat{r}_{k1} + P_{ak0} \hat{r}_{k0}$

Simulation Study ¹⁴

- Questions
	- 1. How close does the classification accuracy **match with the correct** classification rate?
	- 2. How close does the classification consistency **match with the test-retest** consistency rate?
	- 3. Are the new indices sensitive to changes in **test discrimination power, test length, and so on**?
	- 4. How do the new indices perform **compared with Cui's indices**?

Simulation Study ¹⁵

- Method
	- − under the deterministic inputs, noisy "and" gate (DINA) model
- Simulation Design
	- − **total number of attributes (3)**:

3 with *p*=0.5; 5 with *p*=0.3; 8 with *p*=0.1825

− **item discrimination power (2)**:

high: g & s \sim U(0.05, 0.25)

low: $g & s \sim U(0.25, 0.45)$

− **test discrimination power (2)**:

high: using the cognitive diagnostic index (CDI) low: using a random way (RD)

- − **dependency among the attributes (2)**: independent: 0 correlated: 0.5
- − **test length (4)**:

5 items, 10 items, 15 items, 20 items

Simulation Study ¹⁶

- Evaluation Criteria: correct classification rate & test-retest consistency rate
	- − **Pattern correct classification rate (PCCR)**

$$
\text{PCCR} = \frac{1}{N} \sum_{i=1}^{N} \mathbf{I}(\hat{\alpha}_i = \alpha_i)
$$

− **Attribute correct classification rate (ACCR)**

$$
ACCR_k = \frac{1}{N} \sum_{i=1}^{N} \mathbf{I}(\hat{\alpha}_{ik} = \alpha_{ik})
$$

− **Pattern test-retest consistency rate (PTRCR)**
PTRCR_{1,2} = $\frac{1}{N} \sum_{i=1}^{N} I(\hat{\alpha}_i^{(1)} = \hat{\alpha}_i^{(2)})$

 C_{200}^2 = 200 × (200 – 1)/2

− **Attribute test-retest consistency rate (ATRCR)**

$$
ATRCR_{k,1,2} = \frac{1}{N} \sum_{i=1}^{N} \mathbf{I}(\hat{\alpha}_{ik}^{(1)} = \hat{\alpha}_{ik}^{(2)})
$$

Results 17

Table 1

Pattern-Level Classification Consistency and Accuracy Indices Under Various Conditions When the Number of Attributes Is Five

Results 18

Table 1

Pattern-Level Classification Consistency and Accuracy Indices Under Various Conditions When the Number of Attributes Is Five

Results P_{ak} $\hat{\tau}_k$ P_{ck} $\hat{\gamma}_k$ 19 • The average MADs across all attributes: .0239 .0236 .0225 .0619

Table 2

Attribute-Level Classification Consistency and Accuracy Indices for Attribute 1 Under Various Conditions When the Number of Attributes Is Five

Results 20

Classification Accuracy and Consistency Indices With Three and Eight Attributes When Test Length is 20

Discussion ²¹

- Provides useful estimates of CC and CA indices not only at the pattern level but also **at the attribute level**.
- The values of the new indices are **easier to calculate**.

Further Research ²²

- solve a **practical problem** in test development
- reexamined in a **new context** or with **different groups**
- construct their **confidence intervals**
- be applied to **different CDMs**

Thanks for listening!